

APPENDIX D

Audit Plan Progress at 30 November 2011

Activity	Progress
Performance & Governance	
Corporate Governance	Scope agreed
Information Management	Draft report stage
ICT Starters & Leavers	Scheduled for Q4
ICT Management Arrangements	Scheduled for Q4
Register of Interests	Scheduled for Q4
Children's Services	
Kinship Care Allowances	Complete – Limited Assurance
Funding for 2 Year Olds	Complete – Full assurance
Additional Needs Statementing	Scoping commenced
Performance Management	Scheduled for Q4
Integrated Children's System	Scheduled for Q4
Adult Services	
Transformation	Draft report stage
Shaping Care 21 st Century	Draft report stage
Performance Board	On-going
Contracts follow up	Scheduled for Q4
Follow up of previous audit recommendations	Scheduled for Q4
Transformation & Budget Management	Scheduled for Q4
Commissioning	Scheduled for Q4
Finance & Benefits/Brokerage/Direct Payments	Scheduled for Q4
Customer Service Centre	Scheduled for Q4
General review inc Community Support and Re-ablement programmes	Scheduled for Q4
Development	
Divisional Highways Service	Complete – Substantial Assurance
Highways Environmental Maintenance	Complete – Substantial Assurance
Household Waste Recycling Centres	Complete – Substantial Assurance
Libraries & Heritage Service	Complete – Substantial Assurance
Historic Lincoln	Scoping commenced
Carbon Reduction Commitment	Scheduled for Q4
Highways Alliance	Scoping commenced
Concessionary Fares	Scoping commenced
Resources	
Procurement Lincolnshire	Scoping in progress
Youth Offending	Complete – Substantial assurance
Mouchel Contract	Scoping commenced
Payroll	Scheduled for Q4
Creditors	Scheduled for Q4
Budget Management	Scheduled for Q4
Procurement Card	Scheduled for Q4
Tendering and award of Contracts	Scheduled for Q4

Activity	Progress
Other Work	
Assurance Mapping	In progress
Financial Transaction Testing	Quarter 1 and 2 testing in progress
Schools	40 school audits completed

End of Appendix D